Real-world use and acceptance of rituximab biosimilars in non-Hodgkin lymphoma in an oncologist network in Germany

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Aim: Present real-world data for rituximab (biosimilar and reference)-containing regimens in extrapolated indications in non-Hodgkin lymphoma (NHL)/chronic lymphocytic leukemia (CLL). Patients & methods: Data collected from office-based oncologic practices in Germany (July 2017–June 2019). Results: Of 1741 patients, 1241 had NHL; 500 had CLL. Of 7595 therapy cycles, 28.3% used reference rituximab; 55.2% used rituximab biosimilars; 2.0% used subcutaneous rituximab; 14.5% used rituximab, not otherwise specified. Rituximab biosimilars were used across all indications; 57.3% of cycles were administered in extrapolated indications. Over 24 months, the proportion of rituximab prescriptions that were for biosimilars increased from 12.0 to 83.0%. Conclusion: Our real-world data in NHL and CLL depicts increasing use of rituximab biosimilars across multiple treatment protocols, including extrapolated indications.

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Keywords: biosimilar • chronic lymphocytic leukemia • non-Hodgkin lymphoma • real-world • rituximab

Rituximab was the first monoclonal antibody to be approved for therapeutic use in oncology and remains a fundamental component of the current treatment paradigm for non-Hodgkin lymphoma (NHL) [1]. In chronic lymphocytic leukemia (CLL), although recent developments have seen treatment become dominated by small molecule kinase inhibitors (e.g., ibrutinib, venetoclax), rituximab in combination with these and other agents remains a useful option [2].

The first rituximab biosimilars (Rixathon® [Sandoz rituximab], developed by Sandoz, Holzkirchen, Germany; and Truxima® [Celltrion rituximab], developed by Celltrion, Incheon Metropolitan City, South Korea) were approved by the EMA in 2017. Approval was based on the totality of evidence for biosimilarity derived from a comprehensive comparability exercise with the reference medicine (MabThera®/Rituxan® [reference rituximab], Roche, Basel, Switzerland/Genentech, CA, USA) [3–6]. This comparability exercise included extensive physicochemical and structural evaluations, as well as data from preclinical and clinical pharmacokinetic (PK), pharmacodynamic and immunogenicity assessments.

For both biosimilars, the final step in the development process was a confirmatory Phase III clinical trial in patients with advanced follicular lymphoma (FL) [7–13]. In the Phase III ASSIST-FL trial, 627 patients were randomized to receive either Sandoz rituximab or EU-sourced reference rituximab [9]. For Celltrion rituximab, the pivotal trial (CT-P10 3.3) featured 140 patients, who were randomized to receive either the biosimilar or US-sourced reference rituximab [13]. In both trials, rituximab was administered in combination with cyclophosphamide, vincristine, prednisolone chemotherapy. Trials in rheumatoid arthritis have also been conducted for both biosimilars. For Celltrion rituximab, one Phase I trial, including a long-term safety and efficacy extension, and one Phase III trial were conducted, including a total of 584 patients [14–17]. For Sandoz rituximab, a Phase II PK equivalence study and a Phase III switching study to establish safety and immunogenicity were conducted, with a total of 419 patients included across the pair [11,18].
to be the most sensitive to detect differences between the biosimilar and reference medicine. Demonstration of similarity in these trials, coupled with extensive evidence of similarity from other tests, allowed the extrapolation of data with the reference medicine to the biosimilar in other nontested indications [19]. This aspect of biosimilar development, endorsed by health authorities worldwide, reduces the requirement for clinical testing, allowing biosimilars to be produced more affordably than reference medicines.

Development and introduction of biosimilars that are similar in structure, function, immunogenicity, efficacy and safety to the reference biological agent, but available at a lower list price, has the potential to provide savings for healthcare systems, to broaden patient access to biological therapies, and to support the sustainability of cancer care [20–23]. In Germany, biosimilars are available at a substantially lower cost than the reference medicine (as of March 2020, Sandoz rituximab is available at a saving of 11% vs the reference medicine).

While clinical trial data with rituximab biosimilars are available in FL and rheumatoid arthritis, by definition no data are available for extrapolated indications [9,12,13,24]. Since its first launch in 1998, extensive real-world experience with reference rituximab has been reported [25–29]. Real-world evidence is collected during routine clinical practice, outside the tightly controlled environment of a clinical trial and, therefore, helps to fill the knowledge gap between clinical trial experience and routine clinical practice. This can help to address the fact that patient populations may differ significantly between clinical trials and in the real world in terms of demographics and disease characteristics, including age, gender, ethnicity, disease severity, comorbidity burden, concomitant medication and treatment compliance [30]. Payers, physicians and other stakeholders may also use real-world evidence of effectiveness and health outcomes data to inform prescribing and reimbursement decisions [31], and clinical trials increasingly include economic end points and/or analyses to evaluate cost–effectiveness.

Here we present data on current real-world treatment patterns with rituximab biosimilars and reference rituximab in patients with NHL and CLL in Germany.

### Patients & methods

Patient demographics and prescription data for rituximab-based regimens in patients with NHL and CLL were collected electronically, and analyzed as part of the multicenter software-based epidemiological healthcare research project ‘LiveTicker’ of Onkotrakt AG, which has been in existence since 2011. In this system, defined patient and treatment data from routine care are prospectively documented using ‘Oncotrace’ software, a package for automated documentation and data evaluation. Since therapy planning is the central element of the documentation, these consecutively collected data are less prone to failure in relation to a possible selection bias compared with a retrospective case study and parallel documentation. The uniform electronic data acquisition thus enables representative and promptly available analyses of the real supply situation.

Data collection began on 1 July 2017 and is ongoing. For this report, data were captured up to the end of June 2019. In total, 71 physicians across 23 centers participated in data collection. Investigators were office-based oncologists practicing in Germany. Treatment data were anonymized by the participating centers prior to entry into the database. WHO International Classification of Diseases codes were used to group patients by cancer type (Supplementary Table 1). Analyses generally compare data from patients treated with reference rituximab, a rituximab biosimilar (‘Sandoz rituximab’ or ‘Celltrion rituximab’) and nonspecified rituximab antibodies (rituximab n/s), which are all intravenously administered. Patients treated with the subcutaneous (SC) formulation of reference rituximab (reference rituximab SC) were included in this analysis but comprise less than 2% of the population. A preliminary longitudinal analysis was conducted by comparing rituximab use in the first (July 2017) and last (June 2019) months of the available dataset. All data were analyzed descriptively using SPSS software. Institutional review board approval for the study was obtained from the ethics committee of the AK Nordrhein, and the study was also endorsed by ethics committees of the individual study centers in Baden-Wuerttemberg, Brandenburg, Hamburg, Mecklenburg-West Pomerania, Lower Saxony, Rhineland-Palatinate, Saxony, Schleswig-Holstein, Thuringia and Westphalia Lippe.

### Results

In total, 1741 patients were included in the database; 1241 patients had NHL and 500 patients had CLL. International Classification of Diseases codes confirmed that among patients with NHL, 323 (18.6%) had FL, 243 (14.0%) had diffuse large B-cell lymphoma (DLBCL) and 675 (38.8%) had other types of (or codes for) NHL, which included 102 patients (5.9%) with marginal zone lymphoma, 63 (3.6%) with mantle cell lymphoma, 47 (2.7%) with ‘other nonfollicular lymphoma’, 25 (1.4%) with natural killer/T (NK/T) cell lymphoma and 438
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Nonfollicular NHL

Chronic lymphocytic leukemia

Unspecified NHL

Follicular lymphoma

Figure 1. Distribution of patients included in the database according to International Classification of Diseases code
indication.

DLBCL: Diffuse large B-cell lymphoma; FL: Follicular lymphoma; MCL: Mantle cell lymphoma; MZL: Marginal zone
lymphoma; NHL: Non-Hodgkin lymphoma.

A total of 38 different rituximab-containing therapeutic protocols were used, including bendamustine
plus rituximab (BR) – 426 patients; rituximab plus cyclophosphamide, doxorubicin, vincristine and pred-
nisolone (R-CHOP) – 337 patients; rituximab maintenance therapy – 307 patients; rituximab monotherapy –
223 patients; BR + methotrexate – 43 patients; fludarabine plus cyclophosphamide and rituximab – 38 patients;
R-CHOP + methotrexate – 27 patients; rituximab plus methotrexate – 23 patients; rituximab plus cyclophos-
phamide, doxorubicin, vincristine, etoposide and prednisolone (R-CHOEP) – 22 patients; rituximab plus chlo-
rambucil – 20 patients; and ‘Other’ regimens – 74 patients (Figure 2). The ‘Other’ data series comprises all other
rituximab-containing protocols (n < 20, range 1–12; Table 2). In addition, 15 protocols used other anti-CD20

(25.2%) with ‘unspecified NHL’ (Figure 1). Most patients (58.8%) were aged 60–80 years, 57.0% of patients were
male, and 43% were female (Table 1).

Table 1. Patient demographics.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>All patients (n = 1741)</th>
<th>Patients with NHL (n = 1241)</th>
<th>Patients with CLL (n = 500)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11–20</td>
<td>5 (0.3)</td>
<td>5 (0.4)</td>
<td>0 (0.0)</td>
</tr>
<tr>
<td>21–30</td>
<td>11 (0.6)</td>
<td>11 (0.9)</td>
<td>0 (0.0)</td>
</tr>
<tr>
<td>31–40</td>
<td>19 (1.1)</td>
<td>18 (1.5)</td>
<td>1 (0.2)</td>
</tr>
<tr>
<td>41–50</td>
<td>93 (5.3)</td>
<td>80 (6.4)</td>
<td>13 (2.6)</td>
</tr>
<tr>
<td>51–60</td>
<td>277 (15.9)</td>
<td>209 (16.8)</td>
<td>68 (13.6)</td>
</tr>
<tr>
<td>61–70</td>
<td>387 (22.2)</td>
<td>279 (22.5)</td>
<td>107 (21.4)</td>
</tr>
<tr>
<td>71–80</td>
<td>632 (36.3)</td>
<td>424 (34.2)</td>
<td>207 (41.4)</td>
</tr>
<tr>
<td>81–90</td>
<td>307 (17.6)</td>
<td>208 (16.8)</td>
<td>101 (20.2)</td>
</tr>
<tr>
<td>≥91</td>
<td>10 (0.6)</td>
<td>7 (0.6)</td>
<td>3 (0.6)</td>
</tr>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>992 (57.0)</td>
<td>692 (55.8)</td>
<td>300 (60.0)</td>
</tr>
<tr>
<td>Female</td>
<td>749 (43.0)</td>
<td>549 (44.2)</td>
<td>200 (40.0)</td>
</tr>
</tbody>
</table>

Data represented as n (%).

CLL: Chronic lymphocytic leukemia; NHL: Non-Hodgkin lymphoma.
antibodies such as obinutuzumab (179 patients) and ofatumumab (17 patients).

Of 7595 total rituximab cycles in all patients, a rituximab biosimilar was used in 55.2% of cycles (31.8% Sandoz rituximab, 23.4% Celltrion rituximab), reference rituximab in 28.3% of cycles and rituximab n/s in 14.5% of cycles. In patients with FL, a rituximab biosimilar was used in 48.6% of cycles (28.1% Sandoz rituximab, 20.5% Celltrion rituximab) and in patients with DLBCL, a rituximab biosimilar was used in 70.0% of cycles (45.0% Sandoz rituximab, 25.1% Celltrion rituximab). In the total population, only 154 (2.0%) cycles of reference rituximab SC were administered across the same period.

A rituximab biosimilar was used in 42.4% of patients with FL (25.5% Sandoz rituximab, 16.9% Celltrion rituximab), and in 64.3% of patients with DLBCL (42.1% Sandoz rituximab, 22.2% Celltrion rituximab).

**Table 2. Most frequent rituximab protocols identified in the Onkotratk database.**

<table>
<thead>
<tr>
<th>Treatment protocol</th>
<th>Number of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Most frequent (&gt; 20 patients)</td>
<td></td>
</tr>
<tr>
<td>Bendamustine + rituximab (BR)</td>
<td>426</td>
</tr>
<tr>
<td>Rituximab + cyclophosphamide + doxorubicin + vincristine + prednisolone (R-CHOP)</td>
<td>337</td>
</tr>
<tr>
<td>Rituximab maintenance</td>
<td>307</td>
</tr>
<tr>
<td>Rituximab monotherapy</td>
<td>223</td>
</tr>
<tr>
<td>BR + methotrexate</td>
<td>43</td>
</tr>
<tr>
<td>Fludarabine + cyclophosphamide + rituximab</td>
<td>38</td>
</tr>
<tr>
<td>R-CHOP + methotrexate</td>
<td>27</td>
</tr>
<tr>
<td>Methotrexate + rituximab</td>
<td>23</td>
</tr>
<tr>
<td>Rituximab + cyclophosphamide + doxorubicin + vincristine + etoposide + prednisolone</td>
<td>22</td>
</tr>
<tr>
<td>Chlorambucil + rituximab</td>
<td>20</td>
</tr>
</tbody>
</table>

BR: Bendamustine plus rituximab; R-CHOP: Rituximab plus cyclophosphamide, doxorubicin, vincristine and prednisolone; SC: Subcutaneous.
In total, 54.6% of patients with extrapolated indications received a rituximab biosimilar; a rituximab biosimilar was used in 50.0% of patients in the ‘others’ group (28.4% Sandoz rituximab, 21.6% Celltrion rituximab) and 54.8% of patients in the CLL group (28.4% Sandoz rituximab, 21.6% Celltrion rituximab; Figure 3A). For Sandoz rituximab and Celltrion rituximab, an increase in the number of cycles administered was observed between 2017 and 2019, while for reference rituximab, a corresponding decrease in the number of cycles administered was observed over this time period (Figure 4). The increases in cycles administered were most pronounced for Sandoz rituximab. These changes were consistently observed across all disease-related subgroups.
In NHL, a rituximab biosimilar was used in 49.2% of patients for first-line treatment (27.2% Sandoz rituximab, 22% Celltrion rituximab) and in 52.5% of patients receiving second-line or later treatment (36.7% Sandoz rituximab, 15.8% Celltrion rituximab; Figure 3B). In CLL, a rituximab biosimilar was used in 59.3% of patients for first-line treatment (32.4% Sandoz rituximab, 26.9% Celltrion rituximab) and 61.5% of patients for second-line or later treatment (41.5% Sandoz rituximab, 20.0% Celltrion rituximab; Figure 3B). Overall, in patients with NHL or CLL, a rituximab biosimilar was used in 52% at first line and 55% at second line or later.

Analysis of patients switching from one rituximab medicine to another indicates that switches can occur from reference medicine to biosimilar (n = 31, 47.0%), between different biosimilar medicines (n = 14, 21.2%), and from biosimilar to reference medicine (n = 18, 27.3%; Figure 5). In total, 316 switches were recorded in the database; 193 were within therapy and 123 were to a new therapy.

Within the observation period, the proportion of rituximab biosimilar prescriptions in the total population increased from 12.0% (July 2017) to 83.0% (June 2019), comprising an increase in prescriptions of Sandoz rituximab from 1.2 to 57.4% and an increase in prescriptions of Celltrion rituximab from 10.7 to 25.5%. Across the same period, the proportion of reference medicine prescriptions decreased from 51.5 to 15.6%, and rituximab n/s prescriptions from 33.4 to 1.1% (Figure 6A). These prescription patterns were generally similar in patients with DLBCL, CLL, unspecified non-FL, marginal zone lymphoma, mantle cell lymphoma and NK/T cell lymphoma (Figure 6B–D).
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**Short Communication**

Figure 5. Switching data between reference and biosimilar or between biosimilar rituximab medicines. SC: Subcutaneous.

**Discussion**

This study describes the evolution of the use of biosimilar rituximab in Germany in the first 24 months after approval. Our data show that approximately 38 different rituximab-containing therapeutic protocols were used 1540 times to treat patients with NHL and CLL by physicians across Germany, compared with 15 protocols for obinutuzumab and ofatumumab, which were used 179 times. Rituximab biosimilars are increasingly being used for the treatment of patients with NHL and CLL, indicating a high acceptance of biosimilars, even in extrapolated indications. Overall, biosimilars were used in >50% of patients both at first line and at second line or greater. From July 2017 to June 2019, the proportion of biosimilar rituximab prescriptions increased sevenfold, primarily driven by an increase in prescriptions of Sandoz rituximab. This suggests that, with increased familiarity over time, the prescribing physicians in this network became less conservative about biosimilar use. This observation also held in curative settings and for less common rituximab protocols. Overall, however, the data demonstrate the considerable heterogeneity of the treatment landscape, with a wide range of different patient types, which requires an individualized treatment approach.

It is notable that rituximab biosimilars seemed to be used more frequently in the curative setting of DLBCL (70.0% of cycles) than in FL, a more indolent lymphoma (48.6% of cycles). In fact, rituximab biosimilars were the most commonly administered rituximab products in DLBCL, being used to treat nearly 65% of patients across the full study period, with 90% of patients receiving a rituximab biosimilar by the end of the observation time. This pattern may be an artefact of the ‘snapshot’ study design, however. We observed that switches from reference medicine to biosimilar were rare, being seen in only 31 patients. Therefore, in the majority of cases, biosimilars were initiated in new patients entering treatment for the first time. Because FL is an indolent lymphoma, fewer new cases might be observed than with the more aggressive lymphoma DLBCL. Therefore, more patients with DLBCL were initiated on therapy during the study, with a correspondingly greater chance of being initiated on a rituximab biosimilar. Use of rituximab was also common in patients with CLL, highlighting the continuing perceived usefulness of anti-CD20 targeting therapy in the era of small molecule kinase inhibitors such as ibrutinib, idelalisib and venetoclax.

The reason for prescribing a biosimilar as opposed to the reference medicine was not recorded in our study, although we surmise that the increases in prescriptions noted over the course of the study were motivated mostly by the prospect of cost savings, as well as the novelty of biosimilars, with both biosimilars approved for use in Europe.
at around the time of study start. In a prior study, it was shown that, from a fixed list of choices, the top three reasons for prescribing biosimilar rituximab in patients with NHL were ‘approved standard of care’ (71%), ‘proven efficacy’ (50%) and ‘on formulary/hospital policy’ (32%) [32].

In our study, there seemed to be a tendency for greater use of Sandoz rituximab compared with Celltrion rituximab. From our data, it is not possible to explain this phenomenon; certainly, it was not driven by the list price, and there are few if any other differentiating factors between the two medicines. Overall, use of biosimilars in preference to the reference medicine may have been motivated by the prospect of cost savings; for Sandoz rituximab, the reduction in list price compared with the reference medicine is 11% (March 2020 data). Given the high cost of rituximab overall, this represents a significant saving that can potentially be used for alternative therapies or reinvested in other aspects of healthcare.

The safety of switching between biosimilar and reference medicines has been a matter of debate in recent years. However, current EMA recommendations state that there is no clinical evidence to suggest any associated risk or a reduction in efficacy [33,34]. In a systematic literature review of outcomes following a switch from biosimilars to reference medicines (n = 14,225 patients), almost all of the 90 included studies reported no differences in immunogenicity, safety or efficacy [35]. Only a small number of switches were recorded in our study, suggesting...
that physicians generally maintain their original treatment choice. The switches that did occur included the entire
gamut of possible changes, including reference medicine to biosimilar, between different biosimilar medicines, and
from biosimilar to reference medicine. The reason for switching was not recorded in our study, and owing to the
small number of switches, data would not be conclusive.

Biosimilars have the potential to provide significant cost savings for healthcare systems, broaden patient access
to biological therapies and support the sustainability of cancer care. The affordability crisis in oncology is believed
to be unsustainable, and a greater focus on value, alongside efficacy and safety, needs to be implemented across
both healthcare systems and industry to prevent restricted access to life-saving treatments [36,37]. Biosimilar and
generic medicines provide one option to combat the increasing costs of cancer drugs. However, any improvements
in sustainability afforded by biosimilars rely entirely on their uptake [38]. Our results suggest that, after some initial
reluctance, the uptake of rituximab biosimilars appears to be increasing, likely fueled by a greater acceptance of
biosimilars within the oncology community combined with the economic benefits. However, more time is required
to fully realize the benefits of biosimilar drugs in terms of savings and patient access, and to determine whether
these savings can be reinvested into the development of innovative therapies. One US cost-saving study in patients
with NHL suggests that using reference rituximab SC instead of reference IV rituximab within the R-CHOP
regimen elicits both time and cost savings. However, use of biosimilar IV rituximab saved even further on costs
versus reference rituximab SC in small- and average-sized patients irrespective of the level of biosimilar discount,
and in large patients if discounted by approximately 25% [39]. An additional study of the economic impact of
biosimilars for both rituximab and trastuzumab found that switching to biosimilar rituximab in advanced FL
provided a considerable monthly saving (approximately €274), suggesting that rituximab biosimilars are cost-
effective treatments in this setting [40].

The strength of the current analysis is that in a relatively short time, real-world data regarding treatment protocols
were collected using the Onkotrakt network of office-based oncologists. However, the present dataset does not
include efficacy or safety data, which could potentially be evaluated in future. Such data would be interesting given
that many of the indications treated as part of this study were extrapolated indications for which data were not
collected as part of the regulatory approval process for the included biosimilars. The retrospective nature of the
study is an important limitation and should be considered when interpreting the data. Retrospective studies such as
this use data collected during day-to-day clinical practice, for example, patient medical charts. The data therefore
represent a convenient sample, obtained from centers and physicians that are willing to participate, which may not
be representative of the entire patient population. It should also be noted that German law requires prescribers to
use brand names when prescribing rituximab, so the generic description ‘rituximab’ should not be present in the
dataset. The use of the generic description is expected to decline in future, a trend that we have observed in our
data.

In conclusion, this study showed that approximately 38 different rituximab-containing therapeutic protocols are
being used in clinics across Germany. Over the last 2 years, biosimilar rituximab has been increasingly used for the
treatment of patients with NHL and CLL, suggesting an increasing acceptance of both biosimilars and extrapolation
across indications within the oncology community. Additional real-world studies are required to evaluate the long-
term effect of biosimilar rituximab on health economics, patient access and the overall sustainability of cancer
care.

Supplementary data
To view the supplementary data that accompany this paper please visit the journal website at:

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**Ethical conduct of research**

Data were collected within the Onkotrakt live-ticker registry, which has approval from the ethics committee of the Ärztekammer Nordrhein (approval number 2017259).

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**Summary points**

**Background**
- Biosimilars of rituximab were first approved in 2017, and have the potential to improve access to biological therapies as well as the overall sustainability of cancer care.
- Real-world evidence of biosimilar uptake can help to further understand the likely impact of rituximab biosimilars for the treatment of lymphoma.

**Results**
- In patients with lymphoma in Germany between July 2017 and June 2019, a total of 38 different rituximab-containing therapeutic protocols were used, the most frequent being bendamustine plus rituximab and rituximab plus cyclophosphamide, doxorubicin, vincristine and prednisolone.
- A rituximab biosimilar, including Sandoz rituximab or Celltrion rituximab, was used in 55.2% of cycles overall, including 48.6% of cycles in patients with FL and 70.0% of cycles in patients with diffuse large B-cell lymphoma.
- Overall, in patients with non-Hodgkin lymphoma or chronic lymphocytic leukemia, a rituximab biosimilar was used in 52% at first line and 55% at second line or later.
- Switches between different rituximab medicines were frequent, including from reference rituximab to biosimilars, from biosimilars to the reference medicine and from biosimilar to biosimilar.
- Within the observation period, the proportion of rituximab biosimilar prescriptions in the total population increased from 12.0% (July 2017) to 83.0% (June 2019).

**Discussion**
- Use of rituximab biosimilars is widespread in all approved indications, including extrapolated indications such as chronic lymphocytic leukemia and diffuse large B-cell lymphoma, and is increasing year-on-year.
- Real-world switching between different rituximab medicines is occurring frequently.

**References**

Papers of special note have been highlighted as: ● of interest; ●● of considerable interest


●● A comprehensive summary of the development and use of rituximab across lymphoma indications.


4. EMA. Truxima EPAR (2016).


●● A concise up-to-date overview of approved and pipeline rituximab biosimilars, including detailed overviews of clinical development programs.


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*Future Oncol.* (Epub ahead of print)


- A concise summary of the growing issues with maintaining the sustainability of cancer treatment.


- An elegant overview of potential solutions to issues of sustainability in cancer care, of which biosimilars represent one avenue.
